

The Paperclip Triplex: Understanding the Role of Apex Residues in Tight Turns

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ABSTRACT In this study, we investigate the role of the apex nucleotides of the two turns found in the intramolecular “paperclip” type triplex DNA formed by 5′-TCTCTCCTCTCTAGAGAG-3′. Our previously published structure calculations show that residues C₇-A₁₈ form a hairpin turn via Watson-Crick basepairing and residues T₁-C₆ bind into the major groove of the hairpin via Hoogsteen basepairing resulting in a broad turn of the T₁-T₁₂ 5′-pyrimidine section of the DNA. We find that only the C₆C₇/G₁₈ apex triad (and not the T₁₂A₁₃/T₁ apex triad) is required for intramolecular triplex formation, is base independent, and occurs whether the purine section is located at the 5′ or 3′ end of the sequence. NMR spectroscopy and molecular dynamics simulations are used to investigate a bimolecular complex (which retains only the C₆C₇/G₁₈ apex) in which a pyrimidine strand 5′-TCTCTCCTCTCT-3′ makes a broad fold stabilized by the purine strand 5′-AGAGAG-3′ via Watson Crick pairing to the T₈-T₁₂ and Hoogsteen basepairing to T₁-T₅ of the pyrimidine strand. Interestingly, this investigation shows that this 5′-AGAGAG-3′ oligo acts as a new kind of triplex forming oligonucleotide, and adds to the growing number of triplex forming oligonucleotides that may prove useful as therapeutic agents.

INTRODUCTION

DNA has the ability to adopt a wide variety of single-stranded and multi-stranded conformations including hairpin, triplex, and quadruplex configurations (1). The appearance of triplex structures was first reported in RNA by Felsenfeld et al. in 1957 (2). Triplex DNA is formed through the binding of a third DNA strand into the major groove of a double helix via Hoogsteen hydrogen bonding to the purine residues (3–11). The ability of triplex structure formation in cell nuclei has been demonstrated (12). In addition, a number of studies have demonstrated the ability of triplex forming oligonucleotides (TFOs) to inhibit transcription or translation in vitro as well as elicit a variety of responses in vivo, including mutagenesis and recombination, as well as inhibition of transcription of specifically targeted genes (13–20). The sequence specificity of TFO binding makes triplex formation an attractive tool for both gene therapy and molecular biology (21–23).

The thorough understanding of the structural features of triplex DNA is a critical piece in our overall understanding of the biological functions and the potential therapeutic uses of triplex-forming DNA. A number of triplex structures have been investigated by NMR spectroscopy (5,24–36). More recently, studies revealed that the sequence 5′-TCTCTCCTCTCTAGAGAG-3′ (ccag) was able to form an intramolecular triple helix in natural or low pH solutions by folding into a “paperclip” structure with no loop residues (Fig. 1 A) (24,25). This paperclip formation can be thought of as being comprised of two turns: 1), residues C₇-A₁₈ form a hairpin turn via Watson-Crick basepairing (with T₁₂ and A₁₃ at the

apex of the turn) and, 2), residues T₁-C₆ fold back and bind into the major groove of the hairpin via Hoogsteen basepairing resulting in a broad turn of the 5′ pyrimidine section (with C₆ and C₇ at the apex of the turn). In these studies, nuclear Overhauser effect (NOE)-based structure calculations of ccag resulted in a structure that suggested the G₁₈ at the 3′ end of the oligomer forms hydrogen bonding with the C₆ and C₇ apex residues, resulting in a distorted triad (CC/G) at the apex of the pyrimidine turn (pypy turn) (24). This NOE-based structure calculation, however, was not able to clearly identify if the T₁ at the 5′ end of the oligomer forms hydrogen bonding with the T₁₂ and A₁₃ residues at the apex of the hairpin turn, leading us to question the role of the first pyrimidine residue in the triad formation with T₁₂ and A₁₃ nucleotides.

In this study, we investigate the effect of modifications of the oligomer ccag on triplex formation to establish the role of the pypy/pu and pypu/py triads at the apexes of the paperclip triplex. These studies included the removal of either the first pyrimidine residue T, or the last purine residue G from ccag, and rearrangement of the position of the pyrimidine rich or purine rich section in the sequence. In addition, this study investigates the triplex formation of a pyrimidine 12mer 5′-TCTCTCCTCTCT-3′ (cc) with a separate purine 6mer 5′-AGAGAG-3′ (ag), a triplex arrangement that retains only the pypy/pu apex triad (Fig. 1 E).

From the result of this study, we observed a similar triplex formation of the oligomer sequence without the first thymine residue as for ccag, under similar experimental conditions. But when the last guanine residue was removed from the ccag, no triplex formation is detected. Triplex formation was also observed for the mixture of cc and ag under similar condition as ccag (Fig. 2 E). The result of NOE-based

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This article is dedicated to deceased colleague Yu-Yu Tseng.

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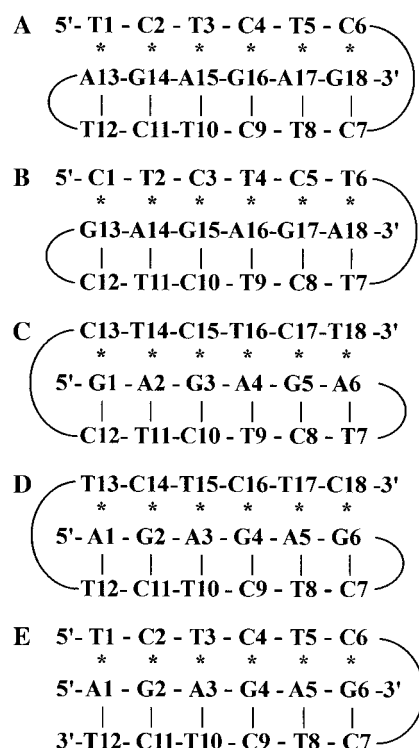


FIGURE 1 (A) Paperclip configuration of 5'-TCTCTCCTCTCTAGAGAG-3' (ccag), (B) 5'-CTCTCTTCTCTCGAGAGA-3' (ttga), (C) 5'-GAGAGATCTCTCCTCTCT-3' (gacc), (D) 5'-AGAGAGCTCTTCTCTCTC-3' (agtt), and (E) bimolecular complex of 5'-TCTCTCCTCTCT-3' (cc) and 5'-AGAGAG-3' (ag). (I) Indicates Watson Crick basepairing and (**) indicates Hoogsteen basepairing.

structure calculations combined with molecular dynamics simulations showed similar triad formation of CC/G at the apex of the pyrimidine turn. These observations indicate that the pypy/pu triad at the apex of the pyrimidine turn is essential for triplex formation, and a pypu/py triad at the apex of the hairpin turn is not required for triplex formation.

Interestingly, ag constitutes a new kind of TFO, a poly-purine sequence (TFOpur) that essentially bends single stranded pyrimidine rich sequences and stabilizes the bend by forming Watson-Crick basepairing to one arm and Hoogsteen basepairing to the other arm of the pyrimidine-rich sequence. This configuration looks remarkably like naturally occurring DNA structures such as H-DNA, which demonstrate similar formations (37). Thus, our increasing knowledge of the turn regions of triplex DNA provides insight into naturally occurring DNA structures and adds to the growing number of TFOs that may prove useful as therapeutic agents targeting specific pyrimidine sequences.

MATERIALS AND METHODS

Synthesis of oligodeoxyribonucleotides

All oligodeoxyribonucleotides were purchased commercially (TriLink Technologies, San Diego, CA) with double reverse phase high-performance

liquid chromatography purification. All purchased oligodeoxyribonucleotides showed a single peak in an analytical Hypersil BDS-C18 column (Agilent Technologies, Santa Clara, CA) high-performance liquid chromatography. Thus, these oligodeoxyribonucleotides, listed in Table 1, were used directly without further purification.

Experimental conditions

The concentrations of all oligodeoxyribonucleotides were determined by the ultraviolet (UV) molar extinction coefficients at 260 nm based on the Fasman method (Table 1) (38). Buffer solutions contain 100 mM NaCl with 20 mM acetate (pH 4.5-5) or 20 mM phosphate (pH 6.5-8) except when otherwise stated. The concentration of single-stranded oligodeoxyribonucleotides used for spectroscopic methods are in 4 μ M and 2 μ M of each component used in the 1:1 mixtures. However, the concentrations of 6ag and 12cc for NMR were 3 mM. The concentrations of oligodeoxyribonucleotides for gel were 2 μ M.

CD spectroscopy

Circular dichroism (CD) spectra were obtained on a Jasco-720 CD spectropolarimeter (Jasco, Tokyo, Japan). The temperature was controlled by a water-circulated jacketed cell. Dry nitrogen gas was blown through the cell compartment to expel oxygen and to prevent moisture condensation. Cells with 1 cm path lengths were used.

UV thermal melting experiment

The UV absorbance versus temperature profiles were measured by a Jasco V-560 UV/VIS and Varian (Palo Alto, CA) Cary 3E spectrophotometer at 260 nm. The temperature was controlled by a Peltier-type thermostatic cell holder. The UV melting curves were recorded with heating and cooling rates of 0.5 C/min. Cells with 1 and 0.1 cm path lengths were used.

TABLE 1 List of the oligodeoxyribonucleotides, their symbols used in the article, and extinction coefficients

Symbol	Oligodeoxyribonucleotides (18 mers)	Extinction coefficient
ccag	5'-TCTCTCTCTCTAGAGAG	165,680
gacc	5'-GAGAGATCTCTCTCTCT	165,220
ttga	5'-CTCTCTTCTCTCGAGAGA	165,420
agtt	5'-AGAGAGCTCTCTTCTCTC	164,760
(AG) ₉	5'-AGAGAGAGAGAGAGAGAG	216,940
	Oligodeoxynucleotides (17 mers)	
ccag-t	5'-CTCTCCTCTCTAGAGAG	156,980
ccag-g	5'-TCTCTCCTCTCTAGAGA	155,440
gacc-g	5'-AGAGATCTCTCCTCTCT	154,720
gacc-t	5'-GAGAGATCTCTCCTCTC	157,500
ttga-c	5'-TCTCTTCTCTCGAGAGA	158,800
ttga-a	5'-CTCTCTTCTCTCGAGAG	151,740
agtt-a	5'-GAGAGCTCTTCTCTCTC	151,340
agtt-c	5'-AGAGAGCTCTTCTCTCT	157,160
	Oligodeoxynucleotides (others)	
12cc	5'-TCTCTCCTCTCT	92,740
12tt	5'-CTCTCTTCTCTC	92,720
6ag	5'-AGAGAG-3'	73,420
(AG) ₁₈	5'-AGAGAGAGAGAGAGAGAGAGAGA- GAGAGAGAGAG-3'	432,220

Native gel electrophoresis

The gel concentration is 20% polyacrylamide and run in solution contains 100 mM NaCl, 5 mM MgCl₂ with 20 mM acetic buffer, pH 5, at 25°C. The voltage is 200 V for 3 h.

NMR spectroscopy

A 1:1 mixture of cc and ag was made using 0.5 ml of 90% H₂O/10% D₂O or 100% D₂O containing 100 mM NaCl, 50 μ M EDTA, and 20 mM phosphate buffer, pH 6.0 or pH 4.5.

NMR data were collected on Bruker Avance-500, DRX-600, or Varian Unity⁺ 600 spectrometers. All data were recorded in phase-sensitive mode. The discriminated time domain free induction decays (fids) of two-dimensional experiments performed on Bruker spectrometers were recorded in TPPI (39) format and further processed to the readable frequency domain spectra by XWIN-NMR (version 2.6, Bruker BioSpin, Karlsruhe, Germany). The fids performed on Varian spectrometer were recorded in hypercomplex (40) format and were processed by FELIX (version 2000, Accelrys, San Diego, CA).

One-dimensional jump-return spectra, for suppressing the intense H₂O signal of samples in 90% H₂O, were acquired at 10°C, 20°C, 30°C, 40°C, and 50°C on a Bruker Avance-500 spectrometer. The spectral width was set to 13 kHz. The carrier frequency was positioned on the H₂O resonance. The τ -delay was set to be 55 μ s, thus optimizing maximum excitation in the Hoogsteen hydrogen-bond imino proton region around 14.5 ppm. The fid was apodized with Gaussian window function (−0.3 Hz line broadening and 0.03 Gaussian broadening) before Fourier transformation. The residual solvent signal of all jump-return related experiments was suppressed by applying a low pass digital filter (41).

All phase sensitive DQF-COSY (42) experiments were performed on a Bruker Avance-500 spectrometer at 35°C with a 4006.4 Hz spectral width. T1-increments of 512 were collected and 64 scans were accumulated for each fid. Fids in both dimensions were apodized with 45°-shifted sine square window function and the processed matrix size was 2048 \times 2048 real points.

Phase-sensitive D₂O-NOESY experiments and Clean TOCSY (43) were performed on Bruker Avance-500 and DRX-600 spectrometers with 4006.4 and 4595.6 Hz spectral width, respectively. For assignment purposes, a D₂O-NOESY spectrum with 240 ms mixing time was performed on a 600 MHz spectrometer using the same acquisition parameters as those performed on a 500 MHz spectrometer. The fids were apodized with a 45°-shifted sine square window function and the processed matrix size was 2048 \times 2048 real points. A series of D₂O-NOESY spectra with various mixing times (60, 120, 240, and 360 ms) were collected at 500 MHz with 512 t1-increments at 35°C and 64 scans. The fids were apodized with 90°-shifted sine square window function and the processed matrix sizes were 2048 \times 2048 real points.

The H₂O signal in NOESY spectra of samples in H₂O was suppressed by jump-return and spectra were acquired on a 500 MHz spectrometer with spectral width 11574.1 Hz. The mixing time was set to be 100 ms and 60 ms at 10°C, 20°C, respectively. Spectra were acquired with 128 scans, 700 t1-increments, and 1024 TPPI complex data points. For assignment purpose, the fids were apodized with a 45°-shifted sine square window function. For NOE intensity measurement, the fids were apodized with 90°-shifted sine square window function. Both processed matrix sizes were 2048 \times 2048 in real points.

Resonance assignments were accomplished by the NOE-observable ¹H-¹H distances between neighboring nucleotides in the sequence (44–47). Nonexchangeable proton assignment was carried out on D₂O-NOESY spectra. Before starting sequential assignment, the correlations of cytosine-H5 to cytosine-H6 and thymine-CH₃ to thymine-H6 were first characterized by a DQF-COSY spectrum. Assignment of all H5, H6, and CH₃ chemical shifts of the pyrimidine strand can then be traced by the NOE connectivity between T_(n)CH₃ \leftrightarrow T_(n)H6, T_(n)H6 \leftrightarrow C_(n+1)H5, C_(n+1)H5 \leftrightarrow C_(n+1)H6, C_(n+1)H6 \leftrightarrow T_(n+2)CH₃, T_(n+2)CH₃ \leftrightarrow T_(n+2)H6. Then the analysis of the

entire H1'-H6/H8 region of the NOESY spectrum was accomplished. The other chemical shifts of H2', H2'', H3', H4', H5', H5'' are obtained by their correlation to the H6/H8 resonances. Chemical shift assignment of exchangeable protons was carried out by H₂O-NOESY spectrum. Resonance of imino protons can be sequentially assigned by the imino-imino NOE connectivity. In this complex, imino-imino connectivity can be traced between purine-imino and pyrimidine-imino of the Watson-Crick pairings, and sequentially between the pyrimidine-imino protons of the Hoogsteen pairings (27). The imino protons can also be identified by their correlations with intra- or interresidual amino protons. For cytosine, amino protons can be also assigned by the NOE correlations with intrabase H5 and H6 protons (46).

Constraint determination

For distance constraints of nonexchangeable proton pairs, the NOE intensities were compared to NOE of cytosine H5 \leftrightarrow H6 and divided into three levels, strong (2.0–4.0 Å), medium (3.0–5.0 Å), and weak (4.0–6.0 Å). For distance constraints of the imino protons, the NOE intensities were compared to the NOE of the intrabase NOE of cytosine imino to amino proton (set to be strong) and loosely divided into strong (2.0–5.0 Å) and weak (3.0–6.0 Å). Thymine and guanine imino proton-related NOE intensities were classified by the same method, except the standard NOE intensity used was the interbase NOE of imino to the hydrogen bonded amino proton of the paired base.

Molecular dynamics simulation

The structure was determined by using Distance-Geometry (DGII, Accelrys) calculations followed by restrained molecular dynamics (MD) simulation (Discover, Accelrys). A template was established that contains two independent B-form DNA single strands. The N3 proton of cytosines participating in Hoogsteen basepairing was added and all carbon and nitrogen atoms of these cytosines were set to sp² hybridized orbitals. Besides the NOE constraints, some distance constraints between proton-donor and proton acceptor of Watson-Crick and Hoogsteen basepairs were also added to the triads of the stem part. Thus a total of 22 distance constraints, 251 NOE-distance constraints, 88 dihedral angle constraints, and 55 chiral constraints were applied during the distance-geometry calculation and restrained MD simulation with force constants of 32 kcal for distance constraints and 100 kcal for dihedral constraints. Before the DGII calculation, the template molecules were energy minimized for 200 steps with steepest descents. DGII calculations resulted in 20 initial structures for MD simulation. Molecules were heated to 27°C and dynamics resumed for 10 ps. Finally, molecules were energy minimized again for 2000 steps by using conjugate gradient method and 200 steps of steepest descent. All calculations were performed on a Silicon Graphics O2 workstation with DGII and Discover programs utilizing the AMBER force field. Helical parameters of cc+ag and ccag were analyzed using the program Curves5.3 (48). Only minor variations in helical parameter values were found between the two structures. Values of basepair parameters reflect that the orientation of the bases of the pyrimidine turn is similar in both structures.

RESULTS

A complete pypy/pu apex triad is required for a paper-clip type triplex

CD and UV melting data were compared for three oligomers: 5'-TCTCTCCTCTCTAGAGAG-3' (ccag), 5'-CTCTCCTCTCTAGAGAG-3' (ccag-t), and 5'-TCTCTCCTCTCTAGAGA-3' (ccag-g). Fig. 2 A shows the CD spectra of these

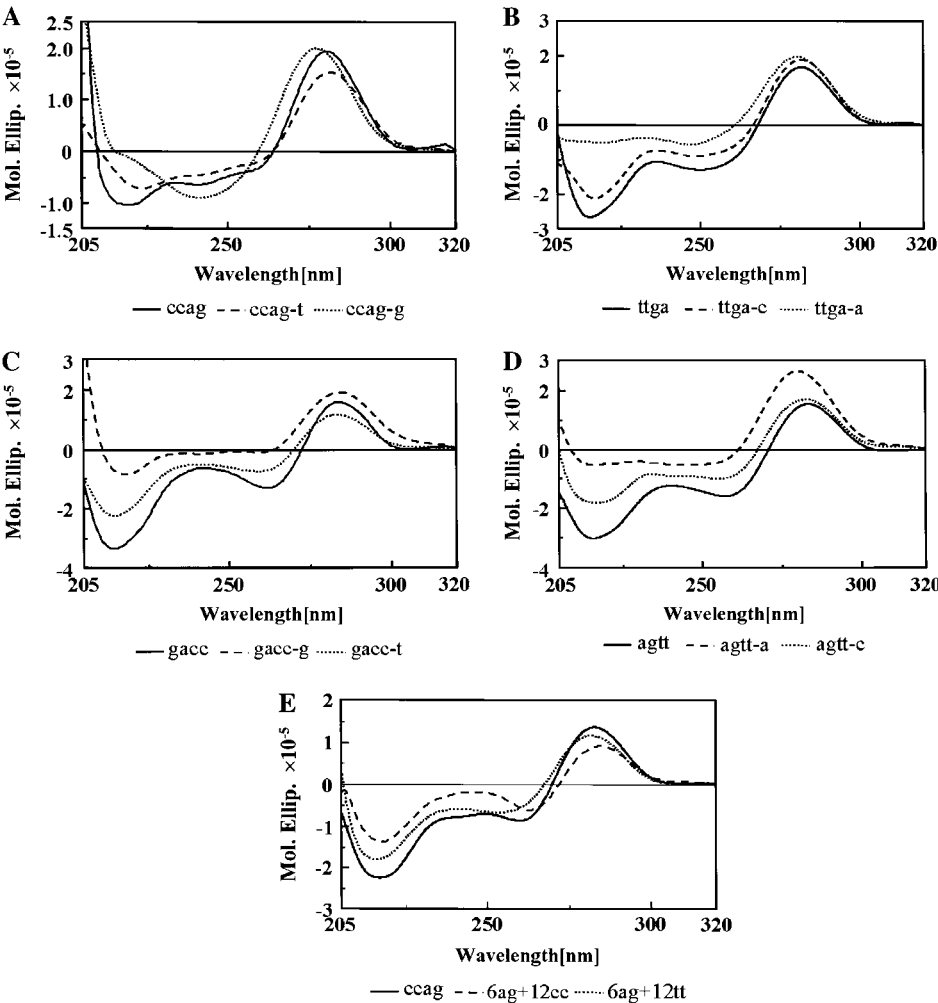


FIGURE 2 (A) CD spectra of ccag (solid), ccag-t (dashed), and ccag-g (dotted) at pH 4.5, 25°C. (B) CD spectra of ttga (solid), ttga-c (dashed) and ttga-a (dotted) at pH 4.5, 25°C. (C) CD spectra of gacc (solid), gacc-g (dashed) and gacc-t (dotted) at pH 4.5, 25°C. (D) CD spectra of agtt (solid), agtt-a (dashed) and agtt-c (dotted) at pH 4.5, 25°C. (E) CD spectra of ccag (solid), 6ag + 12cc (dashed), and 6ag + 12tt (dotted), at pH 4.5, 5°C.

three oligomers in acidic condition (pH 4.5). The CD spectra of ccag and ccag-t have troughs at 210 nm, which are characteristic of triplex formation. The fact that ccag-t (missing the T₁ at the 5' end of the oligomer) is still able to form a triplex indicates that the 5'-T is not required for triplex formation and, therefore, a complete TA/T (a pypu/py apex turn) is not required for triplex formation. This further supports the original model of ccag, which indicated that the T₁ at the 5' end of the oligomer may not be involved in triad formation (24). In the CD spectrum of ccag-t at pH 8 (data not shown), the trough at 210 nm disappears and a trough at 240 nm (typical of B-DNA) was observed. On the other hand, the CD spectrum of ccag-g at pH 4.5 (as well as at pH 7) has a trough at 240 nm only, typical of B-DNA, not a triplex as the other two oligomers. This suggests that the G₁₈ at the 3' end of the oligomer is involved in the triplex formation and a complete CC/G is required to form the apex bending site.

The stability of ccag, ccag-t, and ccag-g has been studied by UV thermal melting temperature (T_m) experiments (Table 2). The similar high T_ms of ccag (54.0°C) and ccag-t (59.0°C) support the conclusion that a triplex forms with or without

the T₁ at the 5' end of the oligomer. The lower T_m of ccag-g (41.9°C) reflects duplex formation, consistent with the trough at 240 nm in its CD spectrum. T_m measurements were also taken of ccag-t and ccag-g at 10-fold excess and found to be 59°C and 49°C, respectively. This concentration-dependent change in T_m of 7.1°C for ccag-g indicates that ccag-g is a bimolecular complex consistent with B-DNA, whereas the

TABLE 2 Melting temperatures of all DNAs (°C)

Oligo	pH = 4.5		pH = 7	
ccag	54.0	22.0	56.3	
ccag-t	59.0	26.7	58.8	
ccag-g	41.9		44.2	
ttga	61.5	24.2	56.0	
ttga-c	57.6	28.7	53.5	
ttga-a	39.8		48.4	
gacc	53.0	11.7	48.7	
gacc-t	53.1	13.8	46.1	
gacc-g	36.0		44.0	
agtt	54.2	18.4	52.3	
agtt-c	45.1	20.9	50.4	
agtt-a	42.5		46.5	

lack of any such change for ccag-t indicates a unimolecular complex consistent with the paperclip type triplex model.

Requirement for a complete pypy/pu is independent of base identity

The three oligomers 5'-CTCTCTTCTCTCGAGAGA-3' (ttga) (Fig. 1 *B*), 5'-TCTCTTCTCTCGAGAGA-3' (ttga-c), and 5'-CTCTCTTCTCTCGAGAG-3' (ttga-a) were analyzed by CD and UV melting curves. These DNA oligomers are similar to the ccag, ccag-t, and ccag-g set of DNAs, except that the pyrimidine bases and the purine bases are switched. The pypy/pu turn is now TT/A and the pypu/py turn is now CG/C. CD spectra of ttga at pH 4.5 show the characteristic triplex trough at 210nm (Fig. 2 *B*). The removal of a single base at either end of the ttga (ttga-c and ttga-a) resulted in the same profile as for the removal of either end basepair of ccag. The DNA ttga-c was able to form triplex, indicating that the pypu/py complete apex triad is not required for triplex formation. However, ttga-a was not able to form a triplex, indicating that the complete pypy/pu apex triad is required for triplex formation. The melting temperatures of ttga, ttga-c, and ttga-a (Table 2) follow the same trend as the melting temperatures of ccag, ccag-t, and ccag-g. These data indicate that both TT/A or CC/G may act as the pypy/pu apex nucleotides. In all cases under this investigation, the complete pypy/pu triad is necessary for triplex formation, and the complete pypu/py triad is not. In addition, it is interesting to point out that the melting temperature of ttga is higher than that of ccag. This is consistent with that the stability of CGC⁺ is higher than that of TAT (9).

Triplex formation is independent of the location of the CC/G pypy/pu turn

The DNA oligomers 5'-GAGAGATCTCTCCTCTCT-3' (gacc) (Fig. 1 *C*), 5'-GAGAGATCTCTCCTCTC-3' (gacc-t), and 5'-AGAGATCTCTCCTCTCT-3' (gacc-g) were analyzed by CD and UV melting curves. These DNA oligomers are the same as the ccag, ccag-t, and ccag-g set of DNAs except that the sense of the strand is reversed thus moving the purine section to the 5' end of the DNA. This places the CC turn toward the 3' end of the DNA. CD spectra of gacc at pH 4.5 show the characteristic triplex trough at 210nm (Fig. 2 *C*). In addition, the removal of either end of gacc (gacc-t and gacc-g) resulted in the same profile as for the removal of either end basepair of ccag. The DNA gacc-t was able to form triplex, indicating that the complete pypu/py apex triad is not required for triplex formation. However, gacc-g was not able to form a triplex, indicating that the complete pypy/pu apex triad is required for triplex formation. The melting temperatures of gacc, gacc-t, and gacc-g (Table 2) follow the same trend as the melting temperatures of ccag, ccag-t, and ccag-g. In addition, this experiment was repeated with the DNA oligomers 5'-AGAGAGCTCTCTTCTCTC-3' (agtt)

(Fig. 1 *D*), 5'-AGAGAGCTCTCTTCTCT-3' (agtt-c), and 5'-GAGAGCTCTCTTCTCTC-3' (agtt-a) (Fig. 2 *D* and Table 2) with similar results indicating that base identity is not important. These data indicate that the pypy/pu turn can be located at the 5' or 3' end of the DNA, that either base can be present, but that the complete pypy/pu is necessary for triplex formation.

Triplexes are more stable than duplexes

The average T_m of all triplexes and duplexes at pH 4.5 was compared. The average T_m of the triplexes (ccag, gacc, ttga, agtt, ccag-t, gacc-t, ttga-c, and agtt-c) is 53.1°C and of the duplexes (ccag-g, gacc-g, ttga-a, and agtt-a) is 42.6°C. The difference in average T_m of triplexes to duplexes is 10.5°C. This supports the previously suggested conclusion that the triplex is not only a unique molecule but also more stable at slight acidic conditions (49).

Formation of a bimolecular triplex cc+ag

To evaluate the degree to which the pypu turn of the pypu/py apex residues is necessary for triplex formation, two DNA oligomers were designed that mimic ccag but are discontinuous between T₁₂ and A₁₃. This resulted in the DNA oligomers 5'-TCTCTCCTCTCT-3' (cc) and 5'-AGAGAG-3' (ag). The CD spectrum of a 1:1 mixture of cc and ag (cc+ag) is very similar to the CD profile of ccag under similar conditions and thus indicates the presence of triplex formation (Fig. 2 *E*). Similar results are seen when the bimolecular complex is formed by a 1:1 mixture of 5'-CTCTCTTCTCTC-3' (tt) and 5'-AGAGAG-3' (ag) (tt+ag) at pH 4.5 (Fig. 2 *E*). The CD spectrum of cc+ag shows B-DNA features as the pH is increased to 7 reflecting the transition from triplex to duplex (data not shown).

The T_ms of cc+ag at pH 4.5 were 12°C and 50°C. The lower T_m of 12°C is due to a weak association of (12cc)₂ via C⁺C basepairing (50). The T_m of 50°C is typical of triplex formation.

Gel retardation studies

Native gel electrophoresis was performed to verify that the triplex formation seen in the CD data of cc+ag and tt+ag was due to 1:1 complexes of the DNA oligomers instead of association of three linear strands. The native gel electrophoresis of ag (I), cc (II), cc+ag (III), tt+ag (IV), (AG)₉ (V), and (AG)₁₈ (VI) are shown in Fig. 3. The single strands ag (I), cc (II), (AG)₉ (V), and (AG)₁₈ (VI) were used as markers of 6, 12, 18, and 36 nucleotidyl-unit oligomers, respectively. Both cc+ag and tt+ag have similar mobility to the (AG)₉, indicating that they are a 1:1 complex resulting in an 18 nucleotide complex. No higher order species were detected.

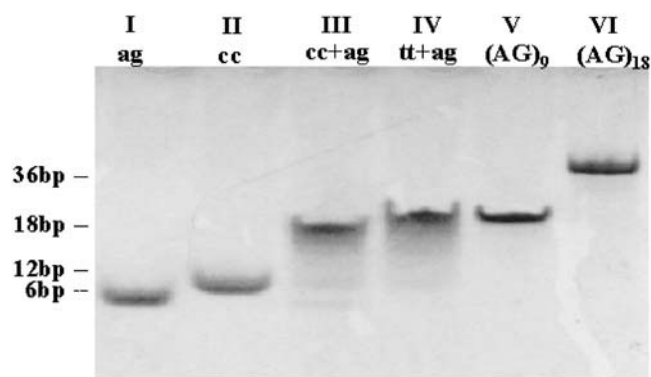


FIGURE 3 Native gel electrophoresis of ag (column I from left), cc (II), cc+ag (III), tt+ag (IV), (AG)₉ (V), and (AG)₁₈ (VI). The gel concentration was 20% polyacrylamide, in 100 mM NaCl, 5 mM MgCl₂ with 20 mM acetic buffer, pH 5, at 25°C. The voltage was 200 V for 3 h.

NMR assignment and conformation of cc+ag

The one-dimensional ¹H NMR spectrum of cc+ag in H₂O at pH 6.0 was performed on a 500 MHz spectrometer. Fig. 4 shows the resonances of the hydrogen-bonded imino proton region from 10°C to 40°C. Triplex structure formation is evident in all spectra. Besides the resonance of all hydrogen-bonded imino protons of thymines and guanines (12.5 ppm–14.5 ppm), there are three additional signals between 14.5 ppm and 16 ppm. These three signals disappeared when the pH was increased to 8, indicating that they are the imino protons of the protonated cytosines, C₃H⁺, that participate in Hoogsteen hydrogen-bonding under acid buffer conditions. Such one-dimensional-¹H NMR resonances are indicative of triplex formation (34–36).

Expanded regions of the NOESY spectrum of cc+ag in D₂O at pH 6.0 acquired at 600 MHz with a 240 ms mixing

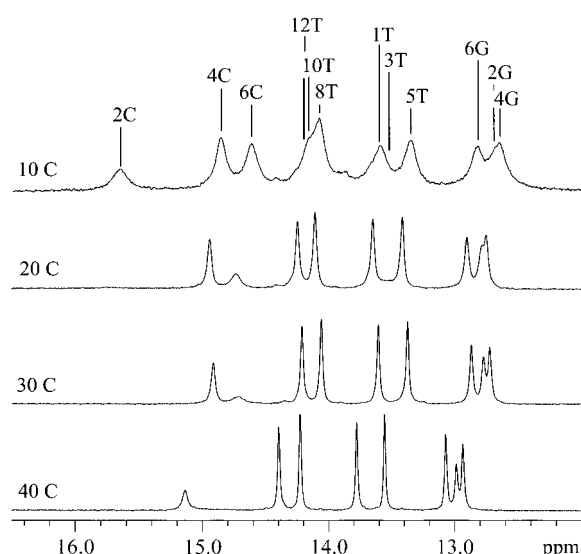


FIGURE 4 Downfield region of the ¹H NMR spectra of cc+ag at 500 MHz.

time are shown in Fig. 5. Assignment was accomplished with the sequential assignment method as described in the Materials and Methods section. We can identify the sequential connectivities T_(n)CH₃ ↔ T_(n)H6 ↔ C_(n+1)H5 ↔ C_(n+1)H6 ↔ T_(n+2)CH₃ of the pyrimidine strand, connectivities of pyrimidine-H1'/H3' ↔ H6 and purine-H1'/H3' ↔ H8. This then allows for assignment of the resonance of the nonexchangeable protons. Full assignment is available in Table 3.

Several NOE correlations typical of triple-strand DNA structures (27,51–54) are present, including interstrand NOE correlations G₂H8 ↔ T₃H1', A₃H8 ↔ C₄H1', G₄H8 ↔ T₅H1', A₅H8 ↔ C₆H1', A₃H8 ↔ T₃H1', G₄H8 ↔ C₄H1', and A₅H8 ↔ T₅H1' (crosspeaks *d*, *e*, *f*, *g*, *a*, *b*, and *c* on Fig. 5). In addition, that the CH₃ of thymines and H5 of cytosines were found to have NOE correlations with H6 of their 5' neighboring base indicates that the triplex adopts a right-handed helical structure.

The expanded imino-imino proton region of the NOESY spectrum of cc+ag in H₂O at pH 6.0 acquired at 600 MHz at 20°C with a 120 ms mixing time is shown in Fig. 6. The cross-strand NOE correlation of imino protons between the purine-rich strand and the 3' end of the pyrimidine strand can be traced, typical of B-form DNA. This indicates that the two antiparallel strands adopt Watson-Crick basepairing. The sequential connectivity from T₁ to C₆⁺ indicates that the 5' region of the pyrimidine strand is paired to the purine strand by Hoogsteen hydrogen bonds. All chemical shifts of imino protons but T₁₂ have been assigned and are listed in Table 3.

Fig. 7 shows the expanded regions of the exchangeable protons of the NOESY spectrum of cc+ag in H₂O at pH 6.0 acquired at 500 MHz at 20°C with 60 ms mixing time. Fig. 7 shows the imino protons of thymines have strong NOE correlations with the amino protons of the adenines within the paired triad, e.g., T_{8/5}H3 ↔ A₅NH₂ (peaks *h1*, *h2*, *l2*, and *l3*) and T_{10/3}H3 ↔ A₃NH₂ (peaks *f1*, *f2*, *j2*, and *j3*). The imino protons on the 3' end of the pyrimidine strand also have NOEs with adenine H2, e.g., T₁₀H3 ↔ A₃H2 and T₈H3 ↔ A₅H2 (peaks *f3* and *h3*) and the imino protons on the 5' end of the pyrimidine strand also have NOEs with adenine H8, e.g., T₃H3 ↔ A₃H8, T₅H3 ↔ A₅H8 (peaks *j1* and *l1*). The imino protons of guanines have strong NOE correlations with the amino protons of cytosines on the 3' end of cc, e.g., G₂H1 ↔ C₁₁NH₂, G₄H1 ↔ C₉NH₂ and G₆H1 ↔ C₇NH₂ (peaks *p1*, *p2*, *r2*, *r3*, *m2*, and *m3*) and weak NOE intensities with protonated cytosine on the 5'-end of cc, e.g., G₄H1 ↔ C₄NH₂ and G₆H1 ↔ C₆NH₂ (peaks *o* and *u*). The imino proton of protonated cytosines on the 5' end of cc have NOE with guanine H8, C₄H3 ↔ G₄H8, and C₆H3 ↔ G₆H8 (peaks *c* and *d*). Such NOE patterns reveal that the pyrimidines of the 5' end of cc adopt Hoogsteen basepairing with the purines of ag, and that the pyrimidines on the 3' end of cc adopt Watson-Crick basepairing with the purines of ag.

Intrastrand weak intensity NOE correlations of each thymine imino protons to the H5 protons of its 3' neighboring

TABLE 3 Proton chemical shifts (ppm) of (AG)₃/(TC)₃(CT)₃ at 293 K

	H1'	H2'	H2''	H3'	H4'	H5'/H5''	H6/H8	H2/H3/CH ₃	NH ₂	NH
5'-T1	6.16	2.56	2.38	4.79	4.19	3.83/3.79	7.63	1.75		13.62*
C2	6.12	2.71	2.24	4.77	4.31	4.23/4.15	8.05	5.96	9.06/9.85	15.66
T3	6.27	2.63	2.41	4.90	4.32	4.21/4.16	7.71	1.72		13.61
C4	5.87	2.63	2.19	4.51	4.36	4.39/4.19	7.67	5.67	9.14/9.86	14.89
T5	6.33	2.64	2.32	4.91	4.37	4.12/4.18	7.74	1.67		13.37
C6	5.87	2.53	2.08	4.75	4.40	4.31/4.19	7.57	5.78	8.16/9.79	14.66
C7	6.14	2.53	2.14	4.88	4.20	4.13/4.02	7.65	5.53	7.42/8.24	
T8	5.93	2.57	2.27	4.87	4.15	4.21/4.12	7.45	1.66		14.07
C9	5.97	2.54	2.07	4.66	4.15	(4.14)/4.22	7.51	5.48	7.14/8.19	
T10	5.97	2.55	2.51	4.86	4.15	4.08/(4.15)	7.57	1.71		14.20
C11	6.07	2.52	2.11	4.69	4.16	4.17/4.10	7.55	5.57	7.07/8.25	
T12-3'	6.16	2.21	2.21	4.50	3.96	4.14/4.02	7.41	1.59		14.15*
5'-A1	5.96	2.69	2.56	4.91	4.20	3.66/3.63	7.65	8.01		
G2	6.03	2.88	2.53	5.02	4.29	4.12/4.05	7.52			12.73
A3	5.83	2.76	1.94	4.75	4.56	3.99/4.36	7.08	7.47	7.32/7.75	
G4	5.91	2.84	2.36	4.87	4.29	4.19/4.05	7.33			12.69
A5	5.71	2.66	1.87	4.65	4.50	4.32/3.91	7.00	7.38	7.72/7.35	
G6-3'	5.85	2.47	2.33	4.49	4.09	4.15/4.02	7.54			12.85

*Measured at 283 K.

cytosine, e.g., T₁₀H3 ↔ C₁₁H5, T₈H3 ↔ C₉H5, T₅H3 ↔ C₆H5, T₃H3 ↔ C₄H5 (peaks *el*, *gl*, *kl*, and *il*) and the intrastrand weak intensity NOE correlations of each imino proton on the 5' end of cc (but not C₆) to H2' and H2'' of its 5' neighboring base are further evidence of a right-handed triple helical structure.

Additional interstrand and interbase medium intensity NOE correlations, C₄H3 ↔ A₅NH₂, G₂H1 ↔ A₃H2, G₄H1 ↔ A₅H2 (peaks *bl*, *b2*, *t*, and *q*) and T₁₀H3 ↔ C₁₁NH₂, T₈H3 ↔ C₉NH₂, T₃H3 ↔ C₄NH₂, T₅H3 ↔ C₆NH₂ (peaks *e2*, *e3*, *g2*, *g3*, *i2*, *i3*, *k2*, and *k3*) provide more information about the triplex structure.

In addition to the gel retardation studies, a number of unusual chemical shifts and NOE correlations indicate that triplex formation of cc+ag is composed of a single bent

pyrimidine strand and a single purine strand instead of three linear strands. First of all, the appearance of several NOEs, T₅CH₃ ↔ C₇NH₂, T₅CH₃ ↔ C₉NH₂, C₇NH₂ ↔ C₆⁺H1', and T₈CH₃ ↔ C₆⁺H2', are not expected in linear DNA. Second, the chemical shift of the nonhydrogen bonded amino proton of C₆⁺ shifts up field by ~1 ppm relative to those of C₄⁺ and C₂⁺. Third, the chemical shift difference of the two amino protons on C₇ is ~0.9 ppm, whereas those of C₂⁺ and C₄⁺ are ~1.2 ppm (typical of linear DNA). Fourth, there is no NOE between C₆⁺H3 and the amino proton of its 3' neighboring paired base, an NOE that is typically found in linear DNA. Fifth, the intensity of intrabase NOE correlation, C₆⁺H3 ↔ C₆⁺NH₂ is weaker than C₄⁺H3 ↔ C₄⁺NH₂ and may be caused by increased chemical exchange between the exposed exchangeable protons of C₆⁺ and solvent.

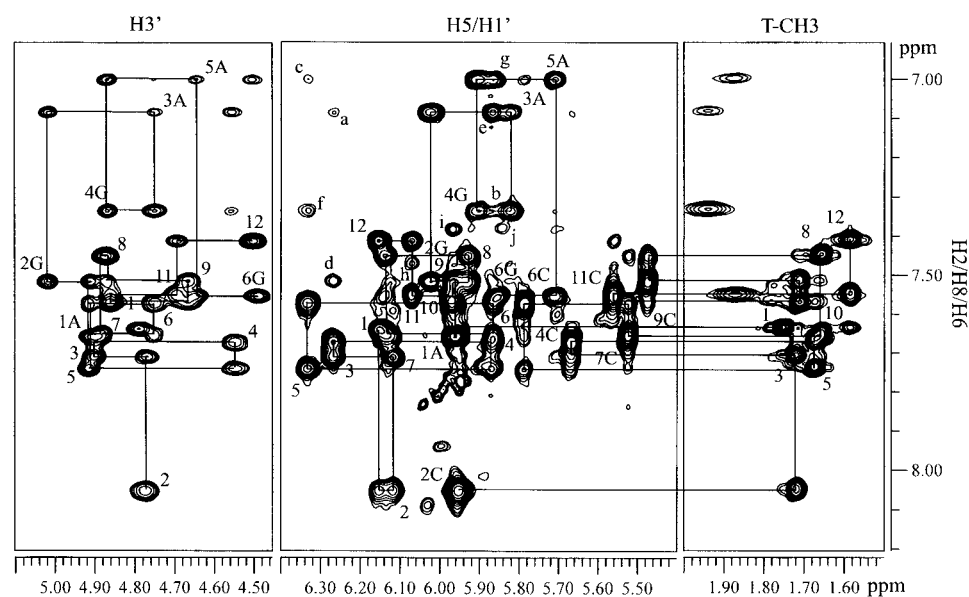


FIGURE 5 Expanded regions of the NOESY spectrum of cc+ag in D₂O at pH 6.0, 35°C acquired at 600 MHz with a 240 ms mixing time. (a) A₃H8 ↔ T₃H1', (b) G₄H8 ↔ C₄H1', (c) A₅H8 ↔ T₅H1', (d) G₂H8 ↔ T₃H1', (e) A₃H8 ↔ C₄H1', (f) G₄H8 ↔ T₅H1', and (g) A₅H8 ↔ C₆H1'.

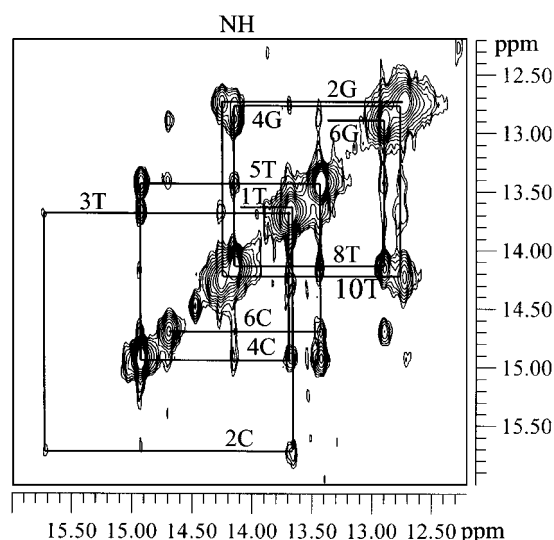


FIGURE 6 Imino-imino proton region of the NOESY spectrum of cc+ag in H₂O at pH 6.0 acquired at 600 MHz at 20°C with a 120 ms mixing time. The detail descriptions are in the text.

Structure analysis

The NOE distance constraint data were mainly extracted from D₂O-NOESY spectra with various mixing times. Due to high chemical exchange rate of the exchangeable protons with H₂O at 35°C, NOE distance constraints were extracted from H₂O-NOESY performed at 20°C and bounded loosely at 2–6 Å. Triplex structure is apparent from 10°C to 40°C (Fig. 4).

The total relevant constraints used in structural refinement are summarized in Table 4. DGII embedding calculation gave 30 initial structures for molecular dynamics simulation. The initial structure determined by NMR data revealed the Watson-Crick and Hoogsteen basepairing of the stem region. Additional calculations included Watson-Crick and Hoogsteen hydrogen bonding constraints for the triads on the stem region. Fig. 8 A shows the superposition of the 10 lowest energy structures with an average heavy atom root mean-square deviation of 0.58 (±0.05) Å.

In the final model (Fig. 8 B), the 3' end of the pyrimidine strand and the purine strand are antiparallel to each other and form Watson-Crick basepairing. Simultaneously, the 5' end of the pyrimidine strand is positioned in the major groove formed by the 3' end of the pyrimidine strand with the purine strand and parallel to the purine strand. All bases on the 5' end of the pyrimidine strand pair to the purine bases with Hoogsteen hydrogen bonds except the C₆. Residue C₆ shows hydrogen bonding potential between C₆H₃ and 3'-G₆O₆, and C₆NH₂ and 3'-G₆N₇. The C₇ base of the pyrimidine strand and 3'-G₆ of the purine strand incline toward each other, resulting in potential hydrogen bonding between C₇NH₂ and 3'-G₆O₆, C₇N₃ and 3'-G₆H₁, and C₇O₂ and 3'-G₆NH₂, typical of Watson-Crick hydrogen bonding. No

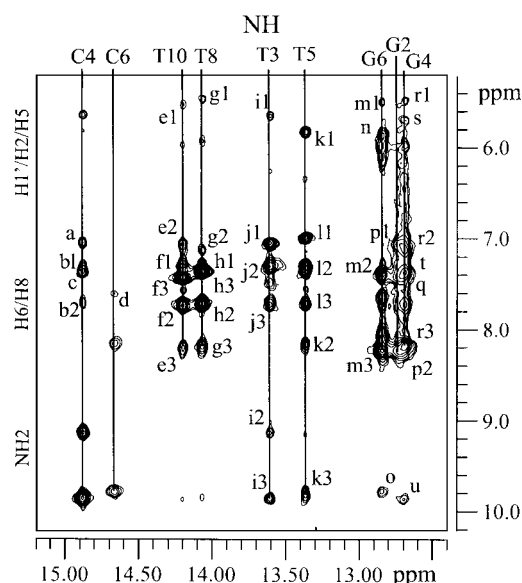


FIGURE 7 Exchangeable proton region of NOESY spectrum of cc+ag in H₂O at pH 6.0 acquired at 500 MHz at 20°C with 60ms mixing time. (a) C₄H₃ ↔ A₃H₈, (b1 and b2) C₄H₃ ↔ A₃NH₂, (c) C₄H₃ ↔ G₄H₈, (d) C₆H₃ ↔ G₆H₈, (e1) T₁₀H₃ ↔ C₁₁H₅, (e2 and e3) T₁₀H₃ ↔ C₁₁NH₂, (f1 and f2) T₁₀H₃ ↔ A₃NH₂, (f3) T₁₀H₃ ↔ A₃H₂, (g1) T₈H₃ ↔ C₉H₅, (g2 and g3) T₈H₃ ↔ C₉NH₂, (h1 and h2) T₈H₃ ↔ A₅NH₂, (h3) T₈H₃ ↔ A₅H₂, (i1) T₃H₃ ↔ C₄H₅, (i2 and i3) T₃H₃ ↔ C₄NH₂, (j1) T₃H₃ ↔ A₃H₈, (j2 and j3) T₃H₃ ↔ A₃NH₂, (k1) T₅H₃ ↔ C₆H₅, (k2 and k3) T₅H₃ ↔ C₆NH₂, (l1) T₅H₃ ↔ A₅H₈, (l2 and l3) T₅H₃ ↔ A₅NH₂, (m1) G₆H₁ ↔ C₇H₅, (m2 and m3) G₆H₁ ↔ C₇NH₂, (n) G₆H₁ ↔ C₆H₅, (o) G₄H₁ ↔ C₄NH₂, (p1 and p2) G₂H₁ ↔ C₁₁NH₂, (q) G₄H₁ ↔ A₅H₂, (r1) G₄H₁ ↔ C₉H₅, (r2 and r3) G₄H₁ ↔ C₉NH₂, (s) G₄H₁ ↔ C₄H₅, (t) G₂H₁ ↔ A₃H₂, and (u) G₆H₁ ↔ C₆NH₂.

hydrogen bond constraints between C₆ and 3'-G₆ or C₇ and 3'-G₆ were applied during the molecular modeling calculations.

Comparison of the final structure of cc+ag and the previously determined structure of ccag (24) shows remarkable similarity in the pypy/pu region. NOE data from both ccag and cc+ag resulted in pypy/pu turn structures in which the C₆, C₇ and T₈ bases stack onto one another and similar potential hydrogen bonding patterns exist between C₆, C₇ and the 3'-G₆ (3'-G₁₈ in ccag) residues.

DISCUSSION

Recent studies revealed that the DNA sequence 5'-TCTCTCCTCTCTAGAGAG-3' (ccag) forms an intramolecular triple helix at natural or low pH by folding over into a paperclip structure (Fig. 1 A) (24,25). This paperclip formation can be thought of as being comprised of two turns: 1), residues C₇-A₁₈ form a hairpin (pypy turn) turn via Watson-Crick basepairing, and 2), residues T₁-C₆ fold back and bind into the major groove of the hairpin via Hoogsteen basepairing, resulting in a broad turn (pypy turn) of the T₁-T₁₂ pyrimidine section.

TABLE 4 Input and results of structure calculations

Calculation included:	
Total NOE constraints	298
Intranucleotide*	75
Sequential internucleotide	126
Nonsequential internucleotide	11
Interstrand	64
Hydrogen bonds	22
Violations of experimentally determined distance constraints ($>0.6\text{\AA}$)	1
All atom root mean-square deviation of the 10 lowest energy structures	0.58 (± 0.05) \AA

*Trivial intranucleotide sugar NOE distances were not included.

Previously, NOE-based structure calculations of ccag suggested that the G₁₈ at the 3' end of the oligomer forms hydrogen bonding with the C₆ and C₇ residues of the pypy turn, resulting in a distorted triad involving C₆, C₇, and G₁₈ (CC/G) at the apex of the pyrimidine turn (24). The UV and T_m results indicate that removal of the G₁₈ nucleotide at the 3' end of the oligomer results in loss of triplex formation, indicating that the G₁₈ at the 3' end of the oligomer is critical for triplex formation (24). It remained unclear, however, the degree to which the T₁ at the 5' end of the oligomer forms hydrogen bonding with the T₁₂ and A₁₃ residues at the apex of the hairpin turn. When a DNA oligomer was designed that eliminated the T₁ at the 5' end of the oligomer (ccag-t), triplex formation occurred similar to ccag, indicating that the T₁ at the 5' end of the oligomer was not required for triplex

formation; therefore, a complete pypy/py triad at the apex of the hairpin turn was not required.

In this study, we evaluate what role the triads at the apex of these two turns plays in the formation of this intramolecular paperclip DNA. A number of DNAs were designed to test which apex nucleotides were necessary for triplex formation, if it mattered what pyrimidines or purines were present at the pypy/pu or pypy/py turns, or if it mattered where in the sequences these turns appeared. We find that the presence of a complete pypy/pu triad (the distorted triad at the apex of the pyrimidine fold) being necessary for intramolecular triplex formation and a complete pypy/py (the triad at the apex of the hairpin turn) not being necessary, is a general profile. Experiments in which the pyrimidines were switched such that the apex triad of the pypy/pu turn was now TT/A and the purines were switched such that the apex of the pypy/py turn was CG/C (ttga) displayed the above profile; when the purine which would complete the pypy/pu turn was removed (ttga-a) triplex formation was not achieved, but when the pyrimidine that would complete the pypy/py turn was removed (ttga-c) triplex formation occurred. To find out if it mattered where in the sequence the pypy/pu triad appears, we designed another DNA by reversing the sense of the sequence, thus placing the purine section at the 5' end of the DNA (gacc and agtt). These DNA do indeed form pH dependent paperclip type triplexes and show the same pattern of behavior when the 5' or 3' nucleotide is not present; when the purine that would complete the pypy/pu turn was removed (gacc-g and agtt-a) triplex formation was not achieved, but when the pyrimidine that would complete the pypy/py turn was removed (gacc-t and agtt-c), triplex formation occurred.

In addition, comparison of the T_m values obtained for all DNA oligomers at pH 4.5 revealed that triplex formation is more stable than duplex formation in these DNA oligomers. The average T_m for triplex forming DNA oligomers was found to be 53.1°C, whereas the average T_m of duplex forming DNA oligomers in this study was found to be 42.6°C. This agrees with previous findings that the triplex is not only a unique molecule but also, at slightly acidic conditions, more stable than duplex structures (49).

As discussed above, we find that a complete pypy/py (triad at the apex of the hairpin turn) is not necessary for triplex formation. Removal of the 5' nucleotide of ccag required to complete the pypy/py triad fails to inhibit triplex formation. Indeed it is possible to completely disrupt the pypy/py by breaking the DNA between T₁₂ and A₁₃ and still achieve triplex formation. This creates a bimolecular complex (verified by gel electrophoresis to be a 1:1 complex) of 5'-TCTCTCCTCTCT-3' (cc) and 5'-AGAGAG-3' (ag). Similar results were seen when the bimolecular complex is formed by a 1:1 mixture of 5'-CTCTCTTCTCTC-3' (tt) and 5'-AGAGAG-3' (ag) (Fig. 3). Thus, in general, it appears as if the requirement for the paperclip' triplex formation is only that a complete pypy/pu triad is formed.

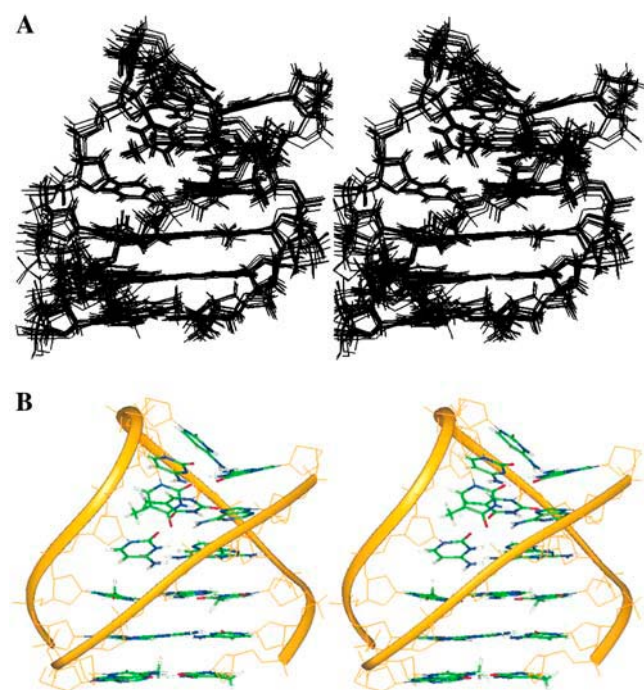


FIGURE 8 (A) Stereo view of the superimposition of the 10 low energy structures of cc+ag. (B) Stereo view of cc+ag.

Previous examples of bimolecular triplex formation have been reported; however, these complexes have been of polypyrimidine/polypurine hairpin DNA with an additional pyrimidine strand binding into the major groove of the hairpin via Hoogsteen hydrogen bonding to the poly-purine residues (55). In the case of cc+ag and tt+ag, the palindromic pyrimidine strand is not capable of hairpin formation on its own. Thus triplex formation would require both arms of the pyrimidine strand to form hydrogen bonding with the purine strand, essentially bending the pyrimidine strand. The three-dimensional structure for the bimolecular triplex cc+ag determined herein supports this model. The structure of cc+ag was determined based on data obtained from two-dimensional nuclear magnetic resonance studies and molecular dynamics simulations. We found that ag binds parallel to the 5'-end of cc with Hoogsteen hydrogen bonding and antiparallel to the 3'-end of cc with Watson-Crick hydrogen bonding. The backbone at the triplex stem is similar to that of B-form DNA. The backbone and base stacking at the pypy bend of the cc+ag structure allows C₆, C₇ and T₈ to stack and C₆ and C₇ to form hydrogen bonding to 3'-G₆ of the purine strand in a similar fashion as seen in ccag (24) (Fig. 8 B). Inspection of the overall structure shows that the presence of the 3'-G₆ of the pypy/pu triad at the apex of the pyrimidine turn results in an extensive hydrogen bonding network with C₆ and C₇, thus suggesting that stability of the bases associated with the apex of the pyrimidine turn is critical for triplex formation.

Interestingly, this cc+ag formation adds to a growing number of TFOs. In general, TFOs have been defined as being poly-pyrimidine oligonucleotides that bind in the major groove of duplex DNA by making Hoogsteen basepairing to the purine strand (Fig. 9 A). TFOs have sparked considerable interest recently due to their potential use in sequence-specific targeting of DNA for both basic research and antigene therapy applications (21-23). Indeed, a number of studies have demonstrated the ability of TFOs to inhibit transcription or translation (13-20). Since a TFO binds to a poly-purine strand, these studies have used TFOs that target double-stranded poly-purine/poly-pyrimidine. In a desire to widen the range of potential DNA targets, considerable interest has developed toward designing TFOs that will bind poly-pyrimidine sequences (19,56-58). The 6mer ag of the cc+ag bimolecular complex defined in this study constitutes a poly-pyrimidine binding TFO; ag is a TFOpur, capable of binding a single stranded pyrimidine rich stretch of DNA, bending it and forming both the Watson-Crick and Hoogsteen basepairing necessary to stabilize triplex formation (Fig. 9 B).

In addition, this cc+ag structure bears great similarity to naturally occurring intramolecular triplex structures first found in plasmids and called H-DNA (in which a pyrimidine section of double-stranded DNA folds back and binds into the major groove of adjacent DNA via Hoogsteen basepairing to purine residues) (Fig. 9 C) (37).

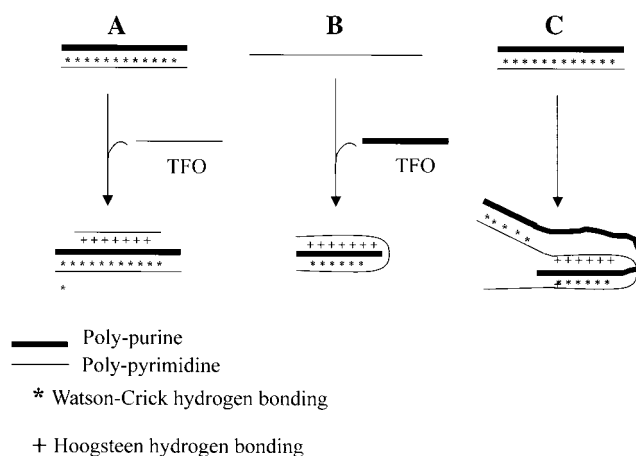


FIGURE 9 (A) Traditional TFO binds in the major groove of duplex DNA forming Hoogsteen basepairing, (B) TFOpur bends pyrimidine rich regions forming W-C pairing to one arm of pyrimidine section and Hoogsteen pairing to the other arm, and (C) H-DNA.

Thus, additional insights developed through the further understanding of these paperclip and bimolecular triplex structures add to our increasing knowledge of naturally occurring DNA structures and poly-pyrimidine targeting TFOs that will, no doubt, prove useful for gene therapy and molecular biology applications.

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